

```

> restart;
> interface(warnlevel=0) :           # Maple 12
> with(LinearAlgebra) :
> with(plots) :

```

## This worksheet illustrates the Givens Rotations

Let  $V$  represent a vector in 3D and  $r$  the magnitude of vector  $V$

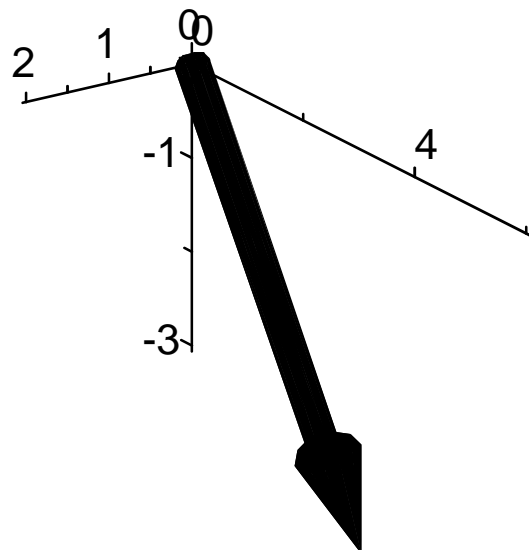
```

> V := Vector( [[2, 6, -3]] ); r :=  $\sqrt{V[1]^2 + V[2]^2 + V[3]^2}$ ;
v1 := arrow(V, color=black, );
display( [v1], axes=normal,
          scaling=constrained, orientation=[56, 70], tickmarks=[4, 4, 4]);

```

$$V := \begin{bmatrix} 2 \\ 6 \\ -3 \end{bmatrix}$$

$$r := 7$$



We can rotate about the z-axis and this 2D rotation takes place in the x-y plane. The y-component is zeroed-out during this process and the resulting vector lies in the x-z plane.

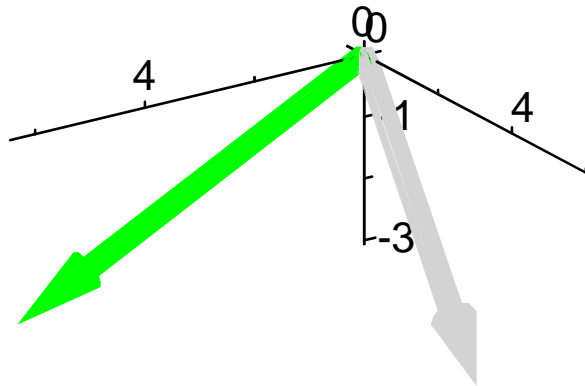
```
> a := V[1] : b := V[2] :
r :=  $\sqrt{a^2 + b^2}$  : c :=  $\frac{a}{r}$  : s :=  $\frac{b}{r}$  :
G1 := simplify(Matrix([ [c, s, 0], [ -s, c], [0, 0, 1]]));
```

$$G1 := \begin{bmatrix} \frac{1}{10} & \sqrt{10} & \frac{3}{10} & \sqrt{10} & 0 \\ -\frac{3}{10} & \sqrt{10} & \frac{1}{10} & \sqrt{10} & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

(1)

```
> V3 := Multiply(G1, V);
v3 := arrow(V3, color = green, axes = normal,
           scaling = constrained, orientation = [26, 86]) :
v1 := arrow(V, color = "LightGray", axes = normal,
           scaling = constrained, orientation = [56, 70], tickmarks = [4, 4, 4]) :
display([v1, v3]);
```

$$V3 := \begin{bmatrix} 2 & \sqrt{10} \\ 0 \\ -3 \end{bmatrix}$$



Notice that the rotated vector, V3 in green, now lies in the x-z plane and the magnitude has not changed

```
> r3 :=  $\sqrt{V3[1]^2 + V3[2]^2 + V3[3]^2}$ ;
```

$$r3 := 7$$

(2)

Now we rotate about the y-axis and this time the 2D rotation takes place in the x-z plane. The z-component is zeroed-out during this process and the resulting vector now lies along the x-axis

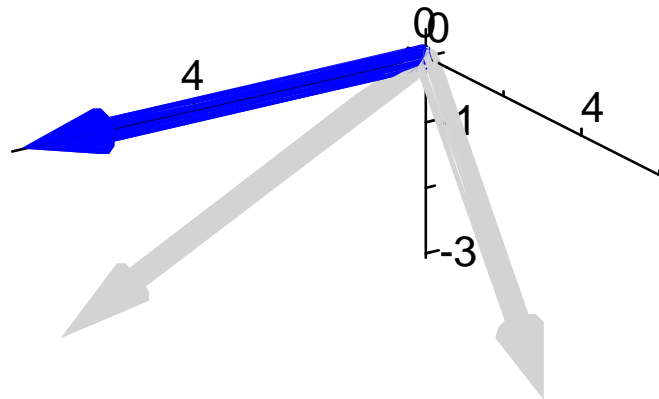
```
> a := V3[1] : b := V3[3] :  
r :=  $\sqrt{a^2 + b^2}$  : c :=  $\frac{a}{r}$  : s :=  $\frac{b}{r}$  :  
G2 := simplify(Matrix([ [c, 0, s], [0, 1, 0], [ -s, 0, c]]));
```

$$G2 := \begin{bmatrix} \frac{2}{7} & \sqrt{10} & 0 & -\frac{3}{7} \\ 0 & 1 & 0 & 0 \\ \frac{3}{7} & 0 & \frac{2}{7} & \sqrt{10} \end{bmatrix}$$

(3)

```
> V4 := Multiply(G2, V3);  
v4 := arrow(V4, color = blue, axes = normal,  
            scaling = constrained, orientation = [26, 86]) :  
v3 := arrow(V3, color = "LightGray", axes = normal,  
            scaling = constrained, orientation = [26, 86]) :  
display([v1, v4, v3, ]);
```

$$V4 := \begin{bmatrix} 7 \\ 0 \\ 0 \end{bmatrix}$$



Notice the rotated vector, V4 in blue, now lies along the x-axis; no change in magnitude.

```
> r4 :=  $\sqrt{V4[1]^2 + V4[2]^2 + V4[3]^2}$ ;
```

$$r4 := 7$$

(4)

**This procedure shows the incremental rotations.**

**GetRot( V, r, zpos, step)**

**V is the vector**

**r component of V which is not rotated to zero**

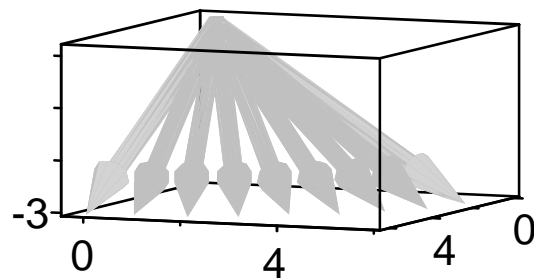
**however, used to construct the Givens matrix.**

**zpos component of V which is rotated to zero.**

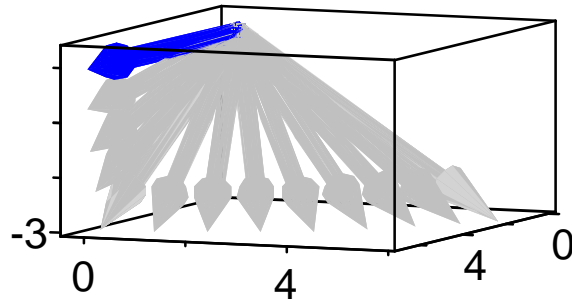
**steps increment of rotation**

```
> GetRot := proc(V, r, zpos, step)
    local i, RotM, θ, x, v, Lv, s, S;
    Lv := [ ];
    θ := evalf( arccos(  $\frac{V[r]}{\sqrt{V[r]^2 + V[zpos]^2}}$  ) );
    if evalf(V[zpos]) > 0 then
        S := + 1;
    else
        S := - 1;
    end if;
    if zpos = 2 then
        RotM := x → Matrix( [ [cos(x), S · sin(x), 0], [ - S · sin(x), cos(x), 0], [0, 0, 1] ] );
    else
        RotM := x → Matrix( [ [cos(x), 0, S · sin(x)], [0, 1, 0], [ - S · sin(x), 0, cos(x)] ] );
    end if;
    s :=  $\frac{\theta}{\text{step}}$ ;
    for i from 0 by s to θ do
        v := Multiply(RotM(i), V);
        Lv := [op(Lv), v];
    end do;
    return Lv;
end proc;
```

```
> Lv1 := GetRot(V, 1, 2, 8) : Sv1 := arrow(Lv1, color = gray, axes = boxed, orientation = [23, 84]) :
display( [Sv1, v1, v3] );
```



```
> Lv2 := GetRot(V3, 1, 3, 4) : Sv2 := arrow(Lv2, color = gray, axes = boxed, orientation = [23, 84]) :
display([Sv1, Sv2, v3, v4, v1]);
```



**The Givens' Rotation using Maple's built-in procedure *GivensRotationMatrix*( ).**

```
> V2 := Vector([ [1, 2, 3, 4]]);
```

$$V2 := \begin{bmatrix} 1 \\ 2 \\ 3 \\ 4 \end{bmatrix}$$

(5)

**We shall zero-out the second component of V2, leaving the third and fourth components unchanged. First we obtain the Givens' Matrix, then we multiply. There is no change in magnitude.**

```
> G := GivensRotationMatrix(V2, 1, 2); V3 := Multiply(G, V2);
```

$$G := \begin{bmatrix} \frac{1}{5} & \sqrt{5} & \frac{2}{5} & \sqrt{5} & 0 & 0 \\ -\frac{2}{5} & \sqrt{5} & \frac{1}{5} & \sqrt{5} & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 \end{bmatrix}$$

$$V3 := \begin{bmatrix} \sqrt{5} \\ 0 \\ 3 \\ 4 \end{bmatrix}$$

(6)

```
> r2 := VectorNorm(V2, 2); r3 := VectorNorm(V3, 2); # Using Maple's VectorNorm() procedure
```

$$r2 := \sqrt{30}$$

$$r3 := \sqrt{30}$$

(7)

We can also use the Given's operation to zero-out an element of a matrix. We assume that each column of the matrix represents a vector. Thus using our previous Given's matrix, we shall zero-out the second element of the first column.

>  $M1 := \text{Matrix}([ [1, 2, 4, 5], [2, 3, 4, 5], [3, 7, 8, 9], [4, 5, 6, 7] ])$ ;

$$M1 := \begin{bmatrix} 1 & 2 & 4 & 5 \\ 2 & 3 & 4 & 5 \\ 3 & 7 & 8 & 9 \\ 4 & 5 & 6 & 7 \end{bmatrix} \quad (8)$$

>  $M2 := \text{Multiply}(G, M1)$ ;

$$M2 := \begin{bmatrix} \sqrt{5} & \frac{8}{5} & \sqrt{5} & \frac{12}{5} & \sqrt{5} & 3 & \sqrt{5} \\ 0 & -\frac{1}{5} & \sqrt{5} & -\frac{4}{5} & \sqrt{5} & -\sqrt{5} \\ 3 & 7 & 8 & 9 \\ 4 & 5 & 6 & 7 \end{bmatrix} \quad (9)$$

Notice the length or magnitude of the column has not changed

>  $r1 := \text{VectorNorm}(\text{Column}(M1, 1), 2)$ ;  $r2 := \text{VectorNorm}(\text{Column}(M2, 1), 2)$ ;

$$r1 := \sqrt{30}$$

$$r2 := \sqrt{30}$$

(10)

We can determine the Givens matrix using our parameters, c, s, and r. Since we are zeroing-out the second component of the first column and leaving the third and fourth components unchanged, our parameters are:

>  $a := V2[1]$ ;  $b := V2[2]$ ;

$$r := \sqrt{a^2 + b^2} ; c := \frac{a}{r} ; s := \frac{b}{r} ;$$

>  $Gm := \text{Matrix}([ [c, s, 0, 0], [-s, c, 0, 0], [0, 0, 1, 0], [0, 0, 0, 1] ])$ ;

$$Gm := \begin{bmatrix} \frac{1}{5} & \sqrt{5} & \frac{2}{5} & \sqrt{5} & 0 & 0 \\ -\frac{2}{5} & \sqrt{5} & \frac{1}{5} & \sqrt{5} & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \quad (11)$$

Now let's zero-out the third component of the second column of matrix M1; we are leaving the second and fourth components unchanged.

>  $G2 := \text{GivensRotationMatrix}(\text{Column}(M1, 2), 1, 3);$

$$G2 := \begin{bmatrix} \frac{2}{53} \sqrt{53} & 0 & \frac{7}{53} \sqrt{53} & 0 \\ 0 & 1 & 0 & 0 \\ -\frac{7}{53} \sqrt{53} & 0 & \frac{2}{53} \sqrt{53} & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \quad (12)$$

>  $M4 := \text{simplify}(\text{Multiply}(G2, M1));$

$$M4 := \begin{bmatrix} \frac{23}{53} \sqrt{53} & \sqrt{53} & \frac{64}{53} \sqrt{53} & \frac{73}{53} \sqrt{53} \\ 2 & 3 & 4 & 5 \\ -\frac{1}{53} \sqrt{53} & 0 & -\frac{12}{53} \sqrt{53} & -\frac{17}{53} \sqrt{53} \\ 4 & 5 & 6 & 7 \end{bmatrix} \quad (13)$$

Using our parameters, c, s, and r. We are zeroing-out the third component of the second column and leaving the second and fourth components unchanged, our parameters are:

>  $a := \text{Column}(M1, 2)[1] : b := \text{Column}(M1, 2)[3] : r := \sqrt{a^2 + b^2} : c := \frac{a}{r} : s := \frac{b}{r} :$

>  $Gm := \text{Matrix}([ [c, 0, s, 0], [0, 1, 0, 0], [-s, 0, c, 0], [0, 0, 0, 1] ]);$

$$Gm := \begin{bmatrix} \frac{2}{53} \sqrt{53} & 0 & \frac{7}{53} \sqrt{53} & 0 \\ 0 & 1 & 0 & 0 \\ -\frac{7}{53} \sqrt{53} & 0 & \frac{2}{53} \sqrt{53} & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \quad (14)$$

>  $\text{Multiply}(Gm, M1);$

$$\begin{bmatrix} \frac{23}{53} \sqrt{53} & \sqrt{53} & \frac{64}{53} \sqrt{53} & \frac{73}{53} \sqrt{53} \\ 2 & 3 & 4 & 5 \\ -\frac{1}{53} \sqrt{53} & 0 & -\frac{12}{53} \sqrt{53} & -\frac{17}{53} \sqrt{53} \\ 4 & 5 & 6 & 7 \end{bmatrix} \quad (15)$$

In our last example, we shall zero-out the fourth component of the third column, leaving the first and third components unchanged.

>  $G3 := \text{GivensRotationMatrix}(\text{Column}(M1, 3), 2, 4);$

$$G3 := \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & \frac{2}{13} & \sqrt{13} & 0 \\ 0 & 0 & 1 & 0 \\ 0 & -\frac{3}{13} & \sqrt{13} & 0 \end{bmatrix} \quad (16)$$

>  $M5 := \text{simplify}(\text{Multiply}(G3, M1));$

$$M5 := \begin{bmatrix} 1 & 2 & 4 & 5 \\ \frac{16}{13} & \sqrt{13} & \frac{21}{13} & \sqrt{13} \\ 3 & 7 & 8 & 9 \\ \frac{2}{13} & \sqrt{13} & \frac{1}{13} & \sqrt{13} \end{bmatrix} \quad (17)$$

Using our parameters, c, s, and r. We are zeroing-out the fourth component of the third column and leaving the first and third components unchanged, our parameters are:

>  $a := \text{Column}(M1, 3)[2] : b := \text{Column}(M1, 3)[4] : r := \sqrt{a^2 + b^2} : c := \frac{a}{r} : s := \frac{b}{r} :$

>  $G4 := \text{Matrix}([ [1, 0, 0, 0], [0, c, 0, s], [0, 0, 1, 0], [0, -s, 0, c] ]);$

$$G4 := \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & \frac{2}{13} & \sqrt{13} & 0 \\ 0 & 0 & 1 & 0 \\ 0 & -\frac{3}{13} & \sqrt{13} & 0 \end{bmatrix} \quad (18)$$

>  $M6 := \text{Multiply}(G4, M1);$

$$M6 := \begin{bmatrix} 1 & 2 & 4 & 5 \\ \frac{16}{13} & \sqrt{13} & \frac{21}{13} & \sqrt{13} \\ 3 & 7 & 8 & 9 \\ \frac{2}{13} & \sqrt{13} & \frac{1}{13} & \sqrt{13} \end{bmatrix} \quad (19)$$

>  $\text{VectorNorm}(\text{Column}(M1, 4), 2); \text{VectorNorm}(\text{Column}(M6, 4), 2); \# \text{ no change in magnitude}$

$$\begin{matrix} 6\sqrt{5} \\ 6\sqrt{5} \end{matrix} \quad (20)$$